

U.S. Risk, LLC | 14241 Dallas Parkway, Suite 850, Dallas, Texas 75254

Applicant Name: _____
 Applicant Address: _____
 Subsidiaries: _____
 State of Domicile: _____ Website URL: _____
 Year Established: _____ Number of Employees: _____
 Industry Sector: _____
 Nature of Business: _____

FINANCIAL INFORMATION

	Last Complete Financial Year	Current Year (Estimate)	Next Year (Estimate)
Gross Annual Revenue	\$ _____	\$ _____	\$ _____
Annual Net Income Before Taxes	\$ _____	\$ _____	\$ _____
Percentage of Gross Annual Revenue—Payment Card	_____ %	_____ %	_____ %
Percentage of Gross Annual Revenue—Online	_____ %	_____ %	_____ %

GENERAL INFORMATION

- Are all servers, firewalls, etc. located in a purpose-built server room with access restricted to appropriate personnel? Yes No
- Are backups taken at least weekly and stored in a secure off-site location? Yes No
- Do you have an email and internet usage policy that has been shared with all employees? Yes No
- Do you have firewall architecture in place? Yes No
- Do all systems users have individual, mandatory and non-trivial user IDs and passwords with forced periodic password changes? Yes No
- Are all PCs and servers protected with up-to-date anti-virus that is updated regularly? Yes No

DATA

- What is the total number of Personal Identifiable Information records stored on your networks? _____
- What is the total number of Social Security Numbers stored on your networks? _____
- What is the total number of Personal Health Information records stored on your networks? _____
- How many payment card transactions do you process annually? _____
- What is the total number of Payment Card records stored on your networks? _____

 Authorized signature

 Date

 Typed or printed name:

 Title: